# UNITED STATES DISTRICT COURT WESTERN DISTRICT OF TENNESSEE

W. Thomas Small, Jr.,	)
Plaintiff,	)
v.	) Case No. 2:13-cv-02654-JTF-cgc
RMK High Income Fund, Inc., RMK Strategic Income Fund, Inc., RMK Advantage Income Fund, Inc., and RMK Multi- Sector High Income Fund, Inc., Morgan Keegan & Company, Inc., Regions Financial Corporation, MK Holding, Inc., Morgan Asset Management, Inc., James C. Kelsoe, Jr., Carter E. Anthony, Brian B. Sullivan, Joseph Thompson Weller, Allen B. Morgan, and J. Kenneth Alderman.	<ul> <li>Action for Violations of the</li> <li>Securities Act of 1933, Securities and</li> <li>Exchange Act of 1934, Section 10(b) of</li> <li>the Securities and Exchange Act and</li> <li>SEC Rule 10(b)5, the Tennessee</li> <li>Securities Act, the Mississippi</li> <li>Securities Act, and the Tennessee</li> <li>Consumer Protection Act</li> </ul>
Defendants.	

### NOTICE OF CORRECTION AND REQUEST FOR ALIAS SUMMONS

On September 6, 2013, summonses were issued for service on Defendants in this case. It has come to the attention of Plaintiff's counsel that the summonses issued to Defendants Carter E. Anthony (D.E. 13) and Brian B. Sullivan (D.E. 14) were issued with incorrect addresses.

On September 24, 2013, a summons was re-issued to Defendant Brian B. Sullivan at an alternative address (D.E. 22). However, this summons was not designated as an alias summons. This notice serves to correct this omission.

In addition, Plaintiff requests that the clerk issue an Alias Summons to Carter E. Anthony in the form attached hereto as Exhibit A.

Respectfully submitted,

HARRIS SHELTON HANOVER WALSH, PLLC

s/Christopher S. Campbell

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JAMES A. DUNLAP JR. & ASSOCIATES, LLC

#### s/James A. Dunlap Jr.

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Counsel for Plaintiffs

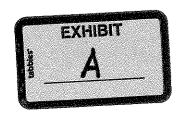
#### **CERTIFICATE OF SERVICE**

I hereby certify that on October 7, 2013, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system.

<u>s/Christopher S. Campbell</u> Christopher S. Campbell

## UNITED STATES DISTRICT COURT

$\mathbf{f}$	or the
Western Distr	ict of Tennessee
R. Thomas Small, Jr.  Plaintiff(s)  V.	) ) ) ) Civil Action No. 2:13-cv-02654-JTF-cgc
PMK High Income Fund Inc., et al.  Defendant(s)  Alices SUMMONS IN	) ) ) A CIVIL ACTION
To: (Defendant's name and address) Carter E. Anthony 4628 Pine Mountain Road Mountain Brook, AL 35213	
are the United States or a United States agency, or an office P. 12 (a)(2) or (3) — you must serve on the plaintiff an anothe Federal Rules of Civil Procedure. The answer or motion whose name and address are:  Christopher S. Campbell HARRIS SHELTON HANG One Commerce Square B 40 S. Main Street, Suite 2' Memphis, TN 38103 Telep	DVER WALSH, PLLC uilding 700
Date:	Signature of Clerk or Deputy Clerk



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Civil Action No. 2:13-cv-02654-JTF-cgc

#### PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (1))

This summons for (name	e of individual and title, if any)		
ceived by me on (date)			
☐ I personally served	the summons on the individual at	(place)	
		on (date)	; or
☐ I left the summons :	at the individual's residence or us	ual place of abode with (name)	-
	, a person	of suitable age and discretion who res	sides there,
on (date)	, and mailed a copy to th	e individual's last known address; or	
☐ I served the summo	ns on (name of individual)	- IIII. III. III. III. III. III. III. I	, who
designated by law to a	ccept service of process on behal		
		on (date)	; or
☐ I returned the summ	nons unexecuted because		; c
☐ Other (specify):			
My fees are \$	for travel and \$	for services, for a total of \$	0.00
I declare under penalty	of perjury that this information i	s trua	
r deorate ander penanty	or perjury than this information i	s true.	
		Server's signature	
	\$4000000000000000000000000000000000000	e Name and an analysis of the Application and	***************************************
		Printed name and title	
	WHOMPHOODING IS IN A SHARE A And And And And And And And And And A	Server's address	
		Server a wrong out	

Additional information regarding attempted service, etc: